

TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. 371

ATTORNEY'S DOCKET NUMBER

670-1006

U S APPLICATION NO. (IF KNOWN, SEE 37 CFR 1.5)

10/049885

INTERNATIONAL APPLICATION NO  
**PCT/GB00/03242**

INTERNATIONAL FILING DATE  
**August 21, 2000**

PRIORITY DATE CLAIMED  
**August 21, 1999**

**TITLE OF INVENTION**

## Field Emitters and Devices

APPLICANT(S) FOR DO/EO/US

**Richard Allen Tuck**

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371
3. ☐ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (24) indicated below
4. ☒ The US has been elected by the expiration of 19 months from the priority date (Article 31)
5. ☒ A copy of the International Application as filed (35 U.S.C. 371 (c) (2))
  - a. ☐ is attached hereto (required only if not communicated by the International Bureau)
  - b. ☒ has been communicated by the International Bureau
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US)
6. ☐ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
  - a. ☐ is attached hereto
  - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4)
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))
  - a. ☒ are attached hereto (required only if not communicated by the International Bureau)
  - b. ☒ have been communicated by the International Bureau.
  - c. ☐ have not been made, however, the time limit for making such amendments has NOT expired
  - d. ☐ have not been made and will not be made
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3))
9. ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4))
10. ☐ An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5))
11. ☐ A copy of the International Preliminary Examination Report (PCT/IPEA/409).
12. ☐ A copy of the International Search Report (PCT/ISA/210)

**Items 13 to 20 below concern document(s) or information included:**

13. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98
14. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
15. ☒ A **FIRST** preliminary amendment.
16. ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment
17. ☐ A substitute specification
18. ☐ A change of power of attorney and/or address letter.
19. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter 2 and 35 U.S.C. 1.821 - 1.825
20. ☐ A second copy of the published international application under 35 U.S.C. 154(d)(4).
21. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
22. ☐ Certificate of Mailing by Express Mail
23. ☐ Other items or information

U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR 1.5) <div style="font-size: 24pt; font-weight: bold;">10/049885</div>		INTERNATIONAL APPLICATION NO. <div style="font-weight: bold;">PCT/GB00/03242</div>		ATTORNEY'S DOCKET NUMBER <div style="font-weight: bold;">670-1006</div>	
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24. The following fees are submitted <b>BASIC NATIONAL FEE ( 37 CFR 1.492 (a) (1) - (5)) :</b> <div style="display: flex; justify-content: space-between;"> <div style="width: 80%;"> <input type="checkbox"/> Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO .  <input type="checkbox"/> International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO  <input type="checkbox"/> International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO  <input type="checkbox"/> International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4)  <input type="checkbox"/> International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4) . . . . .               </div> <div style="width: 15%; text-align: right;"> <div style="font-weight: bold;">\$1040.00</div>  <div style="font-weight: bold;">\$890.00</div>  <div style="font-weight: bold;">\$740.00</div>  <div style="font-weight: bold;">\$710.00</div>  <div style="font-weight: bold;">\$100.00</div> </div> </div> <div style="text-align: center; margin-top: 10px;"> <b>ENTER APPROPRIATE BASIC FEE AMOUNT =</b> </div>				<b>CALCULATIONS PTO USE ONLY</b> <div style="border: 1px solid black; height: 100px; width: 100%;"></div>	
Surcharge of <b>\$130.00</b> for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492 (e))				<div style="font-weight: bold;">\$890.00</div> <div style="font-weight: bold;">\$0.00</div>	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
Total claims	47 - 20 =	27	x \$18.00	\$486.00	
Independent claims	1 - 3 =	0	x \$84.00	\$0.00	
Multiple Dependent Claims (check if applicable) <input type="checkbox"/>				\$0.00	
<b>TOTAL OF ABOVE CALCULATIONS =</b>				\$1,376.00	
<input type="checkbox"/> Applicant claims small entity status See 37 CFR 1.27) The fees indicated above are reduced by 1/2.				\$0.00	
<b>SUBTOTAL =</b>				\$1,376.00	
Processing fee of <b>\$130.00</b> for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492 (f))				\$0.00	
<b>TOTAL NATIONAL FEE =</b>				\$1,376.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h)) The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31) (check if applicable). <input type="checkbox"/>				\$0.00	
<b>TOTAL FEES ENCLOSED =</b>				\$1,376.00	
				Amount to be: refunded	\$
				charged	\$

a. ☒ A check in the amount of \$1,376.00 to cover the above fees is enclosed

b. ☐ Please charge my Deposit Account No. \_\_\_\_\_ in the amount of \_\_\_\_\_ to cover the above fees  
A duplicate copy of this sheet is enclosed

c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No 12-0913 A duplicate copy of this sheet is enclosed.

d. ☐ Fees are to be charged to a credit card **WARNING:** Information on this form may become public. **Credit card information should not be included on this form.** Provide credit card information and authorization on PTO-2038

**NOTE:** Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO

Lee, Mann, Smith, McWilliams, Sweeney & Ohlson  
 P.O. Box 2786  
 Chicago, IL 60690-2786

SIGNATURE

**William M. Lee, Jr.**  
 NAME

**26,935**  
 REGISTRATION NUMBER

2/13/02  
 DATE

670-1006

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE THE APPLICATION OF )  
Tuck ) Examiner:  
SERIAL NO.: To be assigned )  
FILED: Herewith ) Group Art Unit:  
FOR: FIELD EMITTERS AND DEVICES )  
)  
)  
)

AMENDMENT ACCOMPANYING APPLICATION

Honorable Director of Patents  
and Trademarks  
Washington, D.C. 20231

Dear Sir:

The present application is the national filing of International Application number PCT/GB00/03242. Appended hereto is the International Preliminary Examination Report having appended thereto the amended version of claims 1 through 3 in the International Application (claims 4-49 being unchanged). Before calculation of the national filing fee for the United States, it is requested that the application be amended as follows:

IN THE CLAIMS

Cancel claims 1 through 49 without prejudice, and substitute new claims 50 through 96 as follows:

50. A method of creating a composite broad area field electron emitter within an electrode structure that is at least partly preformed, the method comprising the steps of:
- a) providing a masking layer on selected areas of said electrode structure, to define masked areas and unmasked areas of said electrode structure;

- b) after step a), applying at least a first particulate constituent and a second constituent to said unmasked areas of said electrode structure, such that particles of said first constituent are selectively directed towards desired locations within said unmasked areas, thereby avoiding other locations of said unmasked areas; and

after step b):

- c) removing said masking layer from said selected areas, together with any stray quantities of said constituents on said masking layer; and
- d) processing said constituents to create a broad area field electron emission material having emission sites in said desired locations of said electrode structure.

- 51. A method according to claim 50, wherein step d) is carried out after step c).
- 52. A method according to claim 50, wherein said particles are applied in step b) as a plurality of electrically conductive particles in a solution or colloidal dispersion of an electrically insulating material or a chemical precursor therefor and the process of step d) results in said electrically conductive particles being coated in said electrically insulating material.
- 53. A method according to claim 52, wherein the process of step d) includes removing fugitive components of said solution or dispersion.
- 54. A method according to claim 52, wherein a liquid component of said solution or dispersion has dissolved in it a chemical precursor for said electrically insulating material, and the method comprises decomposing said precursor by heat, ultra-violet light or other means to form said electrically insulating material.
- 55. A method according to claim 54, where said precursor is in the form of a sol-gel.
- 56. A method according to claim 54, where said precursor comprises a soluble polymer.

57. A method according to claim 50, wherein said particles comprise electrically conductive particles pre-coated with an electrically insulating material.
58. A method according to claim 52, wherein said electrically insulating material comprises silica.
59. A method according to claim 50, wherein step (b) comprises spray applying said first and second constituents onto said selected areas of said electrode structure, through apertures which are provided on said electrode structure and which direct said particles of said first constituent selectively towards said desired locations.
60. A method according to claim 59, wherein said apertures are defined by parts of said electrode structure which overlie recesses formed in said electrode structure, such that said first and second constituents are directed selectively towards the bottoms of said recesses rather than side walls thereof.
61. A method according to claim 60, wherein said recesses have side walls which slope inwardly towards the bottoms of the recesses.
62. A method according to claim 61, including the step of forming each said recess by a wet-etch process which forms an undercut below the respective part of said electrode structure which overlies the respective recess.
63. A method according to claim 52, where said electrically insulating material is in the form of a dispersion of colloidal or fine particles which subsequently are sintered together by the action of heat to form a solid phase.
64. A method according to claim 50, including the step of applying to said particles a metal and subsequently oxidising that metal to form an electrically insulating material.
65. A method according to claim 64, wherein said metal is applied also to a cathode track.
66. A method according to claim 64, wherein said metal is applied by electroplating.

67. A method according to claim 50, wherein said particles are electrically conductive particles.
68. A method according to claim 67, wherein said electrically conductive particles comprise graphite.
69. A method according to claim 67, wherein the process of step d) results in said conductive particles each with a layer of electrically insulating material disposed in a first location between said conductive surface and said particle, and/or in a second location between said particle and the environment in which the electrode structure is disposed, such that at least some of said particles form electron emission sites at said first and/or second locations.
70. A method according to claim 69, including the step of adding to said conductive particles and/or layers of electrically insulating material further layers to promote electron emission.
71. A method according to claim 50, including a further step of curing or part-curing between steps b) and c).
72. A method according to claim 50, wherein said processing step d) includes curing.
73. A method according to claim 50, wherein said electrode structure has preformed emitter cells and said desired locations are within said emitter cells.
74. A method according to claim 50, wherein each of said desired locations comprises the bottom of a hole.
75. A method according to claim 50, wherein each of said desired locations is at an electrically conductive surface.
76. A method according to claim 50, wherein said particles are applied in a carrier in step b) and the method includes the step of subsequently removing excess of said carrier from said electrode structure.
77. A method according to claim 76, wherein said excess of said carrier is removed by

a squeegee or similar means.

78. A method according to claim 50, wherein said selective direction of said particles is effected by electrophoresis.
79. A method according to claim 50, wherein said masking layer is provided in step (a) as part of a process to form at least part of said electrode structure, prior to carrying out step (b).
80. A method according to claim 50, wherein said second constituent is a precursor for an electrical insulator, which is formed in step (d).
81. A field electron emitter created by a method according to claim 50.
82. A field electron emission device comprising a field electron emitter according to claim 81, and means for subjecting said emitter to an electric field in order to cause said emitter to emit electrons.
83. A field electron emission device according to claim 82, comprising a substrate with an array of patches of said field electron emitters, and control electrodes with aligned arrays of apertures, which electrodes are supported above the emitter patches by insulating layers.
84. A field electron emission device according to claim 83, wherein said apertures are in the form of slots.
85. A field electron emission device according to claim 83, comprising a plasma reactor, corona discharge device, silent discharge device, ozoniser, an electron source, electron gun, electron device, x-ray tube, vacuum gauge, gas filled device or ion thruster.
86. A field electron emission device according to claim 82, wherein the field electron emitter supplies the total current for operation of the device.
87. A field electron emission device according to claim 82, wherein the field electron emitter supplies a starting, triggering or priming current for the device.





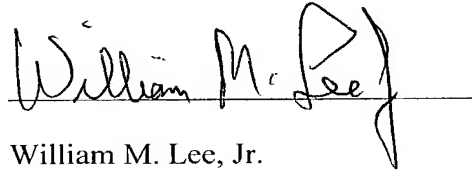
Office is requested to cancel any multiple dependent claims without prejudice before calculation of the national filing fee for the United States

The International Preliminary Examination Report reaches the conclusion that claims 1 through 31 of the International Application (corresponding to claims 50 through 81 of the present application) meet the requirements of novelty and inventive step (nonobviousness). It is submitted that the same results should occur in the United States.

Examination of the application on its merits is awaited.

Respectfully submitted,

Dated: February 12, 2002



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## FIELD EMITTERS AND DEVICES

This invention relates to field emission materials and devices, and is concerned particularly but not exclusively with methods of manufacturing addressable field electron emission cathode arrays. Preferred embodiments of the present invention aim to provide low manufacturing cost methods of fabricating multi-electrode control and focusing structures.

It has become clear to those skilled in the art that the key to practical field emission devices, particularly displays, lies in arrangements that permit the control of the emitted current with low voltages. At the present time, the majority of the art in this field relates to tip-based emitters - that is, structures that utilise atomically sharp micro-tips as the field emitting source.

There is considerable prior art relating to tip-based emitters. The main objective of workers in the art has been to place an electrode with an aperture (the gate) less than 1 micron away from each single emitting tip, so that the required high fields can be achieved using applied potentials of 100V or less - these emitters are termed gated arrays. The first practical realisation of this was described by C A Spindt, working at Stanford Research Institute in California (*J. Appl. Phys.* 39,7, pp3504-3505, (1968)). Spindt's arrays used molybdenum emitting tips which were produced, using a self masking technique, by vacuum evaporation of metal into cylindrical depressions in a SiO<sub>2</sub> layer on a Si substrate. Many variants and improvements on the basic Spindt technology are described in the scientific and patent literature.

An alternative important approach is the creation of gated arrays using silicon micro-engineering. Field electron emission displays utilising this technology are being manufactured at the present time, with interest by many organisations world-wide. Again many variants have been described.

The best examples of such broad-area emitters can produce usable electric currents at fields less than  $10 \text{ V}\mu\text{m}^{-1}$ . In the context of this specification, a broad-area field emitter is any material that by virtue of its composition, micro-structure, work function or other property emits useable electronic currents at macroscopic electrical fields that might be reasonably generated at a planar or near-planar surface - that is, without the use of atomically sharp micro-tips as emitting sites.

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Electron optical analysis shows that the feature size required to control a broad-area emitter is nearly an order of magnitude larger than for a tip-based system. Zhu et al (*US Patent 5,283,501*) describes such structures with diamond-based emitters. Moyer (*US Patent 5,473,218*) claims an electron optical  
5 improvement in which a conducting layer sits upon the broad-area emitter to both prevent emission into the gate insulator and focus electrons through the gate aperture. The concept of such structures was not new and is electronoptically equivalent to arrangements that had been used in thermionic devices for many decades. For example Winsor (*US Patent 3,500,110*) described a shadow grid at  
10 cathode potential to prevent unwanted electrons intercepting a grid set at a potential positive with respect to the cathode. Somewhat later Miram (*US Patent 4,096,406*) improved upon this to produce a bonded grid structure in which the shadow grid and control grid are separated by a solid insulator and placed in contact with the cathode. Moyer's arrangement simply replaced the thermionic  
15 cathode in Miram's structure with an equivalent broad-area field emitter. However, such structures are useful, with the major challenge being methods of constructing them at low cost and over large areas. It is in this area that preferred embodiments of the present invention make a contribution to the art.

The applicants patent *GB 2 330 687 B* describes a low manufacturing cost  
20 method of manufacturing a field emission display (FED) cathode plane using a broad-area field emitter. Figures 1a and 1b of the accompanying diagrammatic drawings show the structure of the cathode plane produced by this method in which a substrate 10 (usually glass) is overlaid with cathode tracks 11, emitter layer 12, focus grid track 13, gate insulator 14 and gate tracks 15. All such tracks and  
25 layers are deposited by low resolution means e.g. printing. The upper surface is then coated with a resist layer which is exposed and developed to open apertures 16 in the resist to define the diameters of the emitter cells. A self aligning process using differential etches is then used to form the emitter cells and expose the

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emitter layer 12. Setting the gate electrode 15 positive with respect to the emitter layer 12 causes the emission of electrons 17 into the device.

Although this invention offers many advantages over the previous art it is best suited to emitter layers that have a surface roughness significantly less than the  
5 thickness of the gate insulator layer.

Many so-called broad-area emitters contain particles that either form the emitters themselves or are part of a composite emitter where one of their roles is to concentrate the macroscopic electric field. Examples of emitters of this type are described in the applicant's specifications *GB 2 332 089* and *GB 2 330 687*. Figure  
10 2a of the accompanying diagrammatic drawings shows a typical structure of such an emitter as described in *GB 2 332 089* in which a substrate 210 (usually glass) has a conducting layer 211 coated with conducting particles 212 disposed within an insulating medium 213. On application of an electric field, conducting channels 214 form which transport and "heat" the electrons passing through them so that  
15 they are emitted at 215 into the vacuum. By a "channel" or "conducting channel" we mean a region of the insulator where its properties have been locally modified, usually by some forming process involving charge injection or heat. Such modification facilitates the injection of electrons from the conducting back contact into the insulator such that the electrons may move through it gaining energy and  
20 be emitted over or through the surface potential barrier into the vacuum. In a crystalline solid the injection may be directly into the conduction band or, in the case of amorphous materials, at an energy level where hopping conduction is possible. For optimum performance the thickness of the insulator layers above and below the particle should be thin compared to the dimensions of the particle.  
25 Given this requirement, the emitter surface tends to have a roughness of the same order as the particle dimensions. Typical particle dimensions are in the few micron range.

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Figure 2b of the accompanying diagrammatic drawings shows an exemplary case where an emitter with 2 micron particles is used in an 8 micron diameter emitter cell fabricated in a nominal 4 micron thick gate insulator. The layered structure is as follows: substrate 210 (usually glass), conducting cathode track 211, conducting particles 227 in insulator medium 228, focus grid track 222, gate insulator layer 223 and gate track 224. The emitter cell opening 225 just exposes a potential emitter 226. From a device operational perspective this example is satisfactory for use in say a FED, since the high electric field between the gate and the anode of the display will tend to straighten the electron trajectories.

Figure 2c of the accompanying diagrammatic drawings shows a far less satisfactory occurrence in which a large particle and its associated insulator coating 230 disrupt the gate structure to form two potential emitting sites. Emitting site 231 is benign since electrons 232 will only be emitted when the gate electrode 224 is in the "on" condition. Potential emitting site 233 presents a major problem since it could, under the influence of the DC field between the gate and anode, emit a continuous and uncontrolled current. In a display device this would result in a permanent bright spot and a scrapped panel.

It has occurred to us that it would be very desirable for such devices if the electrode and gate structures, which are made from materials that yield smooth films, could be fabricated first and the particle-containing emitter layer added as a final operation.

Moving now to Figure 3a of the accompanying diagrammatic drawings, Geis *et al* (*J. Vac. Sci. Technol.* 8 14(3) May/June 1996) describe a technique that involves forming a gated structure with a gate electrode 303 deposited on a silicon dioxide layer 302 that is grown on a conducting silicon substrate 300. Emitter cells 301 are formed by standard semiconductor fabrication processes. A paste 305

containing diamond particles is forced into the empty emitter cells 304 using a squeegee 306. The filled assembly is fired to 1080°C in a reducing atmosphere to evaporate the binder and form a compact 320, as shown in Figure 3b of the accompanying diagrammatic drawings, with good electrical and mechanical contact  
5 between the diamond and the silicon. Nickel may be added to the paste to facilitate electrical contact. The final assembly is plasma treated and then caesiated to reduce the electron affinity. Geis states that although this structure emits well, there is a very large gate current. Figure 3c of the accompanying diagrammatic drawings shows that  
10 direct to the gate 334 when voltages 332 and 331 are applied to the gate 303 and anode 330 respectively. Such spurious currents can be large compared to the desired emitted current 333. It is our view that this outcome is inevitable with this approach since the diamond particles tend to cling to the sidewalls of the emitter cells. Another problem is emitting debris 335 being left on top of the gate where it will produce  
15 uncontrolled currents 336. Passing mention is made of the use of spray or electrophoretic deposition but no details are given.

Danroc (*US Patent 5,836,796*) describes the use of electrophoresis to coat microtip emitters with fine diamond particle emitters to enhance emission. A metal additive deposited by electroplating is used to provide good electrical contact between  
20 the diamond and the metal microtip. Danroc is concerned only with microtip emitters.

Jin (*US Patent 5,811,916*) is concerned with field emission displays using a very specific type of diamond material. Jin mentions in passing the use of electrophoresis to dispose particles of this material, which is an emitting material *per se*,  
25 on a substrate, but no details are given.

EP-A-0932180 describes techniques for fixing conductive particles to a substrate in a defined pattern to form a field emitter. The particles are deposited in a uniform manner, and patterned by removal in unwanted areas. The particles are disclosed as being an emitting material *per se*.

30 FR-A-2723255 adapts standard tip-emitter techniques (namely use of an aluminium separation layer) to thin-film diamond. The specification describes the

deposition of thin-film diamond onto a structure, and its subsequent removal of material by dissolving the separation layer 22. The diamond is deposited in a uniform manner, and patterned by removal in unwanted areas. The diamond is assumed to be an emitting material per se.

- 5 Preferred embodiments of the present invention aim to provide improved field emitting structures wherein a particulate-containing composite field electron emitter is made *in situ* within a previously fabricated electrode structure. Said process preferably includes the use of electrophoresis to optimally locate the particles within the electrode structure. The emitter structures may be used in devices that include:
- 10 field electron emission display panels; high power pulse devices such as electron MASERS and gyrotrons; crossed-field microwave tubes such as CFAs; linear beam tubes such as klystrons; flash x-ray tubes; triggered spark gaps and related devices; broad area x-ray sources for sterilisation; vacuum gauges; ion thrusters for space vehicles; particle accelerators; lamps; ozonisers; and plasma reactors.
- 15 According to one aspect of the present invention, there is provided a method of creating a composite broad area field electron emitter within an electrode structure that is at least partly preformed, the method comprising the steps of:
- a) providing a masking layer on selected areas of said electrode structure, to define masked areas and unmasked areas of said electrode structure;
  - 20 b) after step a), applying at least a first particulate constituent and a second constituent to said unmasked areas of said electrode structure, such that particles of said first constituent are selectively directed towards desired locations within said unmasked areas, thereby avoiding other locations of said unmasked areas; and
  - 25 after step b):
  - c) removing said masking layer from said selected areas, together with any stray quantities of said constituents on said masking layer; and
  - d) processing said constituents to create a broad area field electron emission material having emission sites in said desired locations of said electrode
  - 30 structure.

Preferably, step d) is carried out after step c).



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Said particles may be applied in step b) as a plurality of electrically conductive particles in a solution or colloidal dispersion of an electrically insulating material or a chemical precursor therefore, with the process of step d) resulting in said electrically conductive particles being coated in said electrically insulating  
5 material.

The process of step d) may include removing fugitive components of said solution or dispersion.

A liquid component of said solution or dispersion may have dissolved in it a chemical precursor for said electrically insulating material, and the method may  
10 comprises decomposing said precursor by heat, ultra-violet light or other means to form said electrically insulating material.

Said precursor may be in the form of a sol-gel.

Said precursor may comprise a soluble polymer.

Said particles may comprise electrically conductive particles pre-coated with  
15 an electrically insulating material.

Said electrically insulating material may comprise silica.

Step (b) may comprise spray applying said first and second constituents onto said selected areas of said electrode structure, through apertures which are provided on said electrode structure and which direct said particles of said first  
20 constituent selectively towards said desired locations.

Said apertures may be defined by parts of said electrode structure which overlie recesses formed in said electrode structure, such that said first and second constituents are directed selectively towards the bottoms of said recesses rather than side walls thereof.

A method as above may include the step of adding to said conductive particles and/or layers of electrically insulating material further layers to promote electron emission.

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A method as above may include the further step of curing or part-curing between steps b) and c).

Said processing step d) may include curing.

Preferably, said electrode structure has preformed emitter cells and said  
5 desired locations are within said emitter cells.

Preferably, each of said desired locations comprises the bottom of a hole.

Preferably, each of said desired locations is at an electrically conductive surface.

Said particles may be applied in a carrier in step b) and the method may  
10 include the step of subsequently removing excess of said carrier from said electrode structure.

Said excess of said carrier may be removed by a squeegee or similar means.

Preferably, said selective application of said particles is effected by electrophoresis.

15 Preferably, said masking layer is provided in step (a) as part of a process to form at least part of said electrode structure, prior to carrying out step (b).

Preferably, said second constituent is a precursor for an electrical insulator which is formed in step (d).

20 The invention extends to a field electron emitter created by a method according to any of the preceding aspects of the invention.

In another aspect, the invention provides a field electron emission device comprising such a field electron emitter, and means for subjecting said emitter to an electric field in order to cause said emitter to emit electrons.

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Such a device may comprise a substrate with an array of emitter patches of said field electron emitters, and control electrodes with aligned arrays of apertures, which electrodes are supported above the emitter patches by insulating layers.

Preferably, said apertures are in the form of slots.

- 5        A device as above may comprise a plasma reactor, corona discharge device, silent discharge device, ozoniser, an electron source, electron gun, electron device, x-ray tube, vacuum gauge, gas filled device or ion thruster.

The field electron emitter may supply the total current for operation of the device.

- 10       The field electron emitter may supply a starting, triggering or priming current for the device.

A device as above may comprise a display device.

A device as above may comprise a lamp.

Said lamp may be substantially flat.

- 15       Said emitter may be connected to an electric driving means via a ballast resistor to limit current.

Said ballast resistor may be applied as a resistive pad under each said emitting patch.

- 20       Said emitter material and/or a phosphor may be coated upon one or more one-dimensional array of conductive tracks which are arranged to be addressed by electronic driving means so as to produce a scanning illuminated line.

Such a device may include said electronic driving means.

Said field emitter may be disposed in an environment which is gaseous, liquid, solid, or a vacuum.

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A device as above may comprise a cathode which is optically translucent and is so arranged in relation to an anode that electrons emitted from the cathode impinge upon the anode to cause electro-luminescence at the anode, which electro-luminescence is visible through the optically translucent cathode.

5 It will be appreciated that the electrical terms "conducting" and "insulating" can be relative, depending upon the basis of their measurement. Semiconductors have useful conducting properties and, indeed, may be used in the present invention as conductors. In the context of this specification, an insulating material has an electrical resistivity at least  $10^2$  times (and preferably at least  $10^3$  or  
10  $10^4$  times) that of a conducting material.

For a better understanding of the invention, and to show how embodiments of the same may be carried into effect, reference will now be made, by way of example, to the accompanying diagrammatic drawings, in which Figures 1 to 3 have already been mentioned above, and in which:

15 Figures 4a to 4e illustrate steps in one example of a method of creating a broad area field electron emitter;

Figures 5a to 5c illustrate steps in another example of a method of creating a broad area field electron emitter;

Figures 6a to 6c illustrate steps in yet another example of a method of  
20 creating a broad area field electron emitter; and

Figures 7a to 7c illustrate examples of devices that utilise examples of broad area field electron emitters.

Embodiments of this invention may have many applications and some of these will be described by way of the following examples. It should be understood  
25 that the following descriptions are only illustrative of certain embodiments of the

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invention. Various alternatives and modifications can be devised by those skilled in the art.

### Example 1

We describe, by way of example, an emitter structure utilising a MIMIV  
 5 emitter system as described in our *GB 2 304 989 B*. In this example, an emitter composite layer is assembled within an emitter cell in, say, a display, from its components. Emitters as described in our *GB 2 304 989 B* are routinely deposited on plane surfaces by spin coating using inks. These inks comprise an insulator precursor, such as a polymer or sol-gel; a solvent for the precursor; dispersants and  
 10 surfactants plus the conducting particles. Following spin coating, the layer is heat treated to form the final layer. One such ink consists of a silica sol-gel dissolved in propan-2-ol with graphite particles dispersed to form a suspension. After spin coating a heat treatment profile to 450°C in air is used to cure the layer. The reader is directed to our co-pending application *PCT/GB00/02537*, a copy of the  
 15 specification and drawing of which accompany the present application.

A suitable formulation for the ink is:

#### 1) Sol-gel preparation

Tetraethyl orthosilicate (10 ml), and MOS grade propan-2-ol (47 ml) are mixed and cooled to 5-10°C with stirring at 1000 r.p.m. To this stirring mixture is  
 20 then added a solution of concentrated nitric acid (0.10 g) in deionised water (2.5 g). After 2 hours, the mixture is transferred to a sealed container, and stored at 4°C in a refrigerator until required.

The proportion of MOS grade propan-2-ol is adjusted on test so that the number of particles and their ratio to insulator solid will be correct in the emitter  
 25 cell that is used.

## 2) Dispersion Preparation

Nominal 6 micron graphite particles (0.150 g) and a sol-gel dispersion according to (1) above (9.850 g) previously filtered through a 0.2 micron filter are mixed, and ultrasonically agitated for 10 minutes using a high power ultrasonic probe. The sample is allowed to cool to room temperature and ultrasonically agitated for a further 10 minutes. This yields the required ink as a black suspension. The mixture is transferred to a sealed container and stored in a refrigerator at 4°C.

Figure 4a shows a substrate (usually glass 401); a cathode conducting track 402 (typically gold); an insulating layer 403 (usually glass); and a gate conductor 404 (typically gold). A photoresist layer 405 remains following the use of a self aligning process to form emitter cells 410. Such a structure may be fabricated by using the process that is described conceptually with reference to Figures 1a and 1b but missing out the emitter layer 12 and the focus grid layer 13. Full details of this process is described in our patent *GB 2 330 687 B*, to which the reader's attention is directed. It will be clear to those skilled in the art how the processes therein may be adapted to fabricate the structure described in Figure 4a. However, the present invention is not limited to structures fabricated using this process. Other approaches, such as standard semiconductor fabrication processes, may be used.

Again referring to Figure 4a, an ink 407 comprising both particles 408 and a solution of insulator precursor is then applied to fill the empty emitter cells using a squeegee 406. During the squeegee process some unwanted particles with associated insulator precursor 409 will inevitably be deposited on the photoresist layer 405 covering the gate electrode.

At this point in the process we have a metered volume of ink in each emitter cell. The ink is formulated such that said volume of ink contains sufficient particles to lightly cover the base of the cell and sufficient insulator precursor to form an insulator layer of the required thickness once curing has taken place. If

the curing process were performed now there would be, because of surface tension, a high probability that many particles will either form piles at the base of the cell or be fixed to its wall.

Figure 4b shows how this may problem may be avoided. Either following  
 5 the squeegee process or before it is started, an electrical potential 411 is applied between the cathode track 402 and the gate electrode 404. The particles in suspension 413 will then be swept out of suspension and electrophoretically coated directly onto the cathode track 402. With insulating solvents this requires the cathode track to be biased positively with respect to the gate track. Electric fields in  
 10 the range tens to hundreds of volts/cm are required. Any insulator precursor that adheres to the walls of the cell and is subsequently cured will be free of particles and thus not form emitting sites.

Alternative methods to a squeegee may be used to apply the suspension, such as K-coaters (wire roll) as supplied by R K Print-Coat Instruments Ltd,  
 15 Litlington, Royston, Hertfordshire, UK. Equally, purpose-designed dispensers based, for example, on the extrusion of the suspension through slots may be utilised.

Following the electrophoretic deposition step the substrates are transferred to hotplates under the following conditions: a) 10 minutes at 50°C -  
 20 measured surface temperature of hotplate; b) 10 minutes at 120°C - measured surface temperature of hotplate.

We are now at the stage shown in Figure 4c with a partially cured emitter layer 421 at the bottom of the cells and unwanted potential emitters 409 on the surface of the gate 405.

25 Moving now to Figure 4d the assembly 431 is transferred to an ultrasonic cleaner 432 filled with MOS grade acetone 433. The cleaner is



Moving now to Figure 5 a more conventional approach to electrophoresis is used. A bath 602 contains a suspension of particles 605 in an insulator precursor solution 603. A formulation similar to that in Example 1 may be used but with the concentration of particles much reduced. The substrate to be coated 600 (together with tracks, layers and emitter cells generally as described above with reference to Figure 4) is suspended in the bath and electrical connection 608 from one terminal of a power supply 604 is made to the cathode track. The gate electrode 607 is allowed to float electrically and is preferably covered with a layer of photoresist 609. A counter electrode 601 is connected to another terminal of power supply 604. On application of a voltage with a typical

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electric field in the range tens to hundreds of volts/cm the particles 605 are selectively electrophoretically coated onto the base of the emitter cells 606.

The substrate is now removed from the bath and drained, so that it is as shown in Figure 5b. Although this method can produce acceptable results, it can be seen that particles from the suspension 611 may remain in the volume of the emitter cell and, as shown in Figure 5c, remain in undesirable locations 620 after curing. Potentially emitting debris 610 on the surface of the gate would remain, if the photoresist 609 were not used or not subsequently removed.

### Example 3

Moving now to Figures 6a to 6c, an alternative method of directing emitter material to desired locations is described. This approach takes advantage of the undercut that occurs naturally when a wet etching process is used.

Figure 6a, wherein labels 401 to 405 have the same meaning as in previous examples, shows a cross-section through a part-processed gated field-emitting structure. Emitter cells 800 have sloping sides 801 which are typically formed by a wet etching process. The gate electrode 404 has apertures 802 aligned with cathode tracks 402, and overhangs the sloping sides 801 which have been undercut by the wet etching process. The objective is to deposit emitter material 810 onto the cathode track 803 whilst avoiding coating the gate insulator 801 exposed at the sides of the emitter cell. If the emitter material is sprayed onto the upper surface of the gate, preferably by means of a collimated spray 809, such as may be obtained from an inkjet print head 808, the overhanging gate electrode 404 will act as a mask, keeping the sloping sides 801 of the gate insulator clean. As the print head 808 traverses the structure, unwanted material 811 sprayed onto the surface of the gate 404 falls upon resist layer 405, with which it may subsequently be removed by a lift-off process, such as previously

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described, to leave a finished structure as shown in Figure 6b.

The approach described in this example may be used for composite emitters as previously described in Example I, by co-depositing conductive particles and an insulator formed from a liquid phase precursor to form emitter material 810, as shown in Figure 6b. Alternatively, as shown in Figure 6c, fully fabricated particle-based emitters (e.g. conducting particles already coated by a thin layer of insulator as described in GB 2 304 989) may be selectively deposited, either suspended in a liquid medium or from a spray of dry particles. Once in place, the particles 820 may be affixed by means such as brazing or  
10 fritting.

The teaching herein concerning the assembly of a composite emitter *in situ* may be adapted to a wide range of situations. For example, as well as sol-gel and soluble insulator precursors (e.g. polymers), colloidal and fine particle suspensions may be used.

15 The insulator component may be formed by applying to the cathode track and particles (e.g. by electroplating) a metal that is subsequently oxidised.

The particles may also be electrophoretically deposited using an inert liquid medium and the insulator deposited in prior and/or subsequent process steps. Additional process steps may be introduced to add electron emission  
20 enhancing interface and surface layers as described in our co-pending application GB 2 340 299.

Thus, in the above-described embodiments of the invention, selected areas of an electrode structure are defined by a masking layer, and a first particulate constituent and a second constituent are then applied to those  
25 selected areas. By selectively directing the particles to desired locations within those selected areas, there is derived the advantage that the particles end up

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where emissions sites are wanted, and not where emissions sites are undesirable. A further useful manufacturing advantage can be obtained by making use of the masking layer, which has already served a purpose in part-forming the electrode structure, before being used again in applying the first and second constituents to  
5 selected areas.

The field electron emission current available from improved emitter materials such as are disclosed above may be used in a wide range of devices including (amongst others): field electron emission display panels; lamps; high power pulse devices such as electron MASERS and gyrotrons; crossed-field  
10 microwave tubes such as CFAs; linear beam tubes such as klystrons; flash x-ray tubes; triggered spark gaps and related devices; broad area x-ray sources for sterilisation; vacuum gauges; ion thrusters for space vehicles and particle accelerators.

Examples of some of these devices are illustrated in Figures 7a, 7b and 7c.

15 Figure 7a shows an addressable gated cathode as might be used in a field emission display. The structure is formed of an insulating substrate 500, cathode tracks 501, emitter layer 502, focus grid layer 503 electrically connected to the cathode tracks, gate insulator 504, and gate tracks 505. The gate tracks and gate insulators are perforated with emitter cells 506. A negative bias on a selected  
20 cathode track and an associated positive bias on a gate track causes electrons 507 to be emitted towards an anode (not shown).

The reader is directed to our patent *GB 2 330 687 B* for further details of constructing Field Effect Devices.

The electrode tracks in each layer may be merged to form a controllable  
25 but non-addressable electron source that would find application in numerous devices.

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Figure 7b shows how the addressable structure 510 described above may be joined with a glass frit seal 513 to a transparent anode plate 511 having upon it a phosphor screen 512. The space 514 between the plates is evacuated, to form a display.

- 5           Although a monochrome display has been described, for ease of illustration and explanation, it will be readily understood by those skilled in the art that a corresponding arrangement with a three-part pixel may be used to produce a colour display.

- Figure 7c shows a flat lamp using one of the above-described materials.
- 10   Such a lamp may be used to provide backlighting for liquid crystal displays, although this does not preclude other uses, such as room lighting.

- The lamp comprises a cathode plate 520 upon which is deposited a conducting layer 521 and an emitting layer 522. Ballast layers as mentioned above (and as described in our other patent applications mentioned herein) may be used
- 15   to improve the uniformity of emission. A transparent anode plate 523 has upon it a conducting layer 524 and a phosphor layer 525. A ring of glass frit 526 seals and spaces the two plates. The interspace 527 is evacuated.

- The operation and construction of such devices, which are only examples of many applications of embodiments of this invention, will readily be apparent to
- 20   those skilled in the art. An important feature of preferred embodiments of the invention is the ability to print an electrode pattern before assembly of the emitter layer in situ, thus enabling complex multi-emitter patterns, such as those required for displays, to be created at modest cost. Furthermore, the ability to print enables low-cost substrate materials, such as glass to be used; whereas micro-engineered
- 25   structures are typically built on high-cost single crystal substrates. In the context of this specification, printing means a process that places or forms an emitting

Each feature disclosed in this specification (including any accompanying claims, abstract and drawings), may be replaced by alternative features serving the same, equivalent or similar purpose, unless expressly stated otherwise. Thus, 25 unless expressly stated otherwise, each feature disclosed is one example only of a

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generic series of equivalent or similar features.

The invention is not restricted to the details of the foregoing embodiment(s). The invention extends to any novel one, or any novel combination, of the features disclosed in this specification (including any  
5 accompanying claims, abstract and drawings), or to any novel one, or any novel combination, of the steps of any method or process so disclosed.

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## CLAIMS

1. A method of creating a composite broad area field electron emitter within an electrode structure that is at least partly preformed, the method comprising the steps of:
  - a) providing a masking layer on selected areas of said electrode structure, to define masked areas and unmasked areas of said electrode structure;
  - b) after step a), applying at least a first particulate constituent and a second constituent to said unmasked areas of said electrode structure, such that particles of said first constituent are selectively directed towards desired locations within said unmasked areas, thereby avoiding other locations of said unmasked areas; and  
after step b):
  - c) removing said masking layer from said selected areas, together with any stray quantities of said constituents on said masking layer; and
  - d) processing said constituents to create a broad area field electron emission material having emission sites in said desired locations of said electrode structure.
2. A method according to claim 1, wherein step d) is carried out after step c).
3. A method according to claim 1 or 2, wherein said particles are applied in step b) as a plurality of electrically conductive particles in a solution or colloidal dispersion of an electrically insulating material or a chemical precursor therefor and the process of step d) results in said electrically conductive particles being coated in said electrically insulating material.



4. A method according to claim 3, wherein the process of step d) includes removing fugitive components of said solution or dispersion.
5. A method according to claim 3 or 4, wherein a liquid component of said solution or dispersion has dissolved in it a chemical precursor for said electrically insulating material, and the method comprises decomposing said precursor by heat, ultra-violet light or other means to form said electrically insulating material.
6. A method according to claim 5, where said precursor is in the form of a sol-gel.
7. A method according to claim 5 or 6, where said precursor comprises a soluble polymer.
8. A method according to claim 1 or 2, wherein said particles comprise electrically conductive particles pre-coated with an electrically insulating material.
9. A method according to any of claims 3 to 8, wherein said electrically insulating material comprises silica.
10. A method according to any of the preceding claims, wherein step (b) comprises spray applying said first and second constituents onto said selected areas of said electrode structure, through apertures which are provided on said electrode structure and which direct said particles of said first constituent selectively towards said desired locations.
11. A method according to claim 10, wherein said apertures are defined by parts of said electrode structure which overlie recesses formed in said electrode structure, such that said first and second constituents are directed selectively towards the bottoms of said recesses rather than side walls thereof.



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which the electrode structure is disposed, such that at least some of said particles form electron emission sites at said first and/or second locations.

21. A method according to claim 20, including the step of adding to said  
conductive particles and/or layers of electrically insulating material further  
5 layers to promote electron emission.
22. A method according to any of the preceding claims, including a further step  
of curing or part-curing between steps b) and c).
23. A method according to any of the preceding claims, wherein said processing  
step d) includes curing.
- 10 24. A method according to any of the preceding claims, wherein said electrode  
structure has preformed emitter cells and said desired locations are within  
said emitter cells.
25. A method according to any of the preceding claims, wherein each of said  
desired locations comprises the bottom of a hole.
- 15 26. A method according to any of the preceding claims, wherein each of said  
desired locations is at an electrically conductive surface.
27. A method according to any of the preceding claims, wherein said particles are  
applied in a carrier in step b) and the method includes the step of  
subsequently removing excess of said carrier from said electrode structure.
- 20 28. A method according to claim 27, wherein said excess of said carrier is  
removed by a squeegee or similar means.
29. A method according to any of the preceding claims, wherein said selective  
direction of said particles is effected by electrophoresis.

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30. A method according to any of the preceding claims, wherein said masking layer is provided in step (a) as part of a process to form at least part of said electrode structure, prior to carrying out step (b).
31. A method according to any of the preceding claims, wherein said second  
5 constituent is a precursor for an electrical insulator, which is formed in step (d).
32. A method of creating a field electron emitter, substantially as hereinbefore described with reference to the accompanying drawings.
33. A field electron emitter created by a method according to any of the  
10 preceding claims.
34. A field electron emission device comprising a field electron emitter according to claim 33, and means for subjecting said emitter to an electric field in order to cause said emitter to emit electrons.
35. A field electron emission device according to claim 34, comprising a  
15 substrate with an array of patches of said field electron emitters, and control electrodes with aligned arrays of apertures, which electrodes are supported above the emitter patches by insulating layers.
36. A field electron emission device according to claim 35, wherein said apertures are in the form of slots.
- 20 37. A field electron emission device according to any of claims 34 to 36, comprising a plasma reactor, corona discharge device, silent discharge device, ozoniser, an electron source, electron gun, electron device, x-ray tube, vacuum gauge, gas filled device or ion thruster.

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38. A field electron emission device according to any of claims 34 to 37, wherein the field electron emitter supplies the total current for operation of the device.
39. A field electron emission device according to any of claims 34 to 38, wherein  
5 the field electron emitter supplies a starting, triggering or priming current for the device.
40. A field electron emission device according to any of claims 34 to 39, comprising a display device.
41. A field electron emission device according to any of claims 34 to 39,  
10 comprising a lamp.
42. A field electron emission device according to claim 41, wherein said lamp is substantially flat.
43. A field electron emission device according to any of claims 34 to 42, wherein said emitter is connected to an electric driving means via a ballast resistor to  
15 limit current.
44. A field electron emission device according to claims 35 and 43, wherein said ballast resistor is applied as a resistive pad under each said emitting patch.
45. A field electron emission device according to any of claims 34 to 44, wherein said emitter material and/or a phosphor is/are coated upon one or more  
20 one-dimensional array of conductive tracks which are arranged to be addressed by electronic driving means so as to produce a scanning illuminated line.
46. A field electron emission device according to claim 45, including said electronic driving means.

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47. A field electron emission device according to any of claims 34 to 46, wherein said field emitter is disposed in an environment which is gaseous, liquid, solid, or a vacuum.
48. A field electron emission device according to any of claims 34 to 47,  
5 comprising a cathode which is optically translucent and is so arranged in relation to an anode that electrons emitted from the cathode impinge upon the anode to cause electro-luminescence at the anode, which electro-luminescence is visible through the optically translucent cathode.
49. A field electron emission device, substantially as hereinbefore described with  
10 reference to the accompanying drawings.



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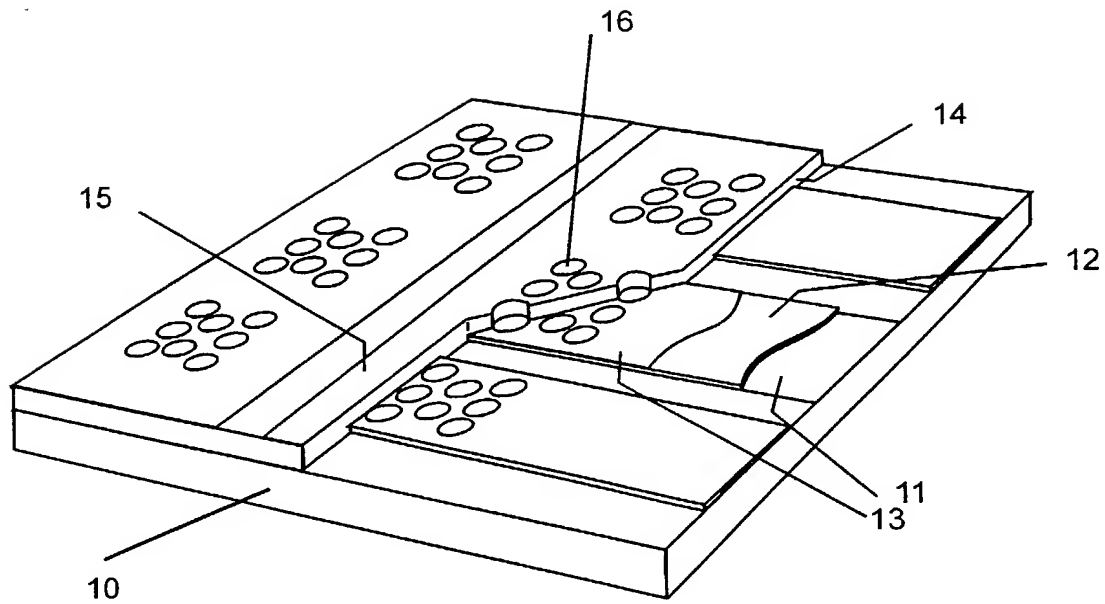


Figure 1a

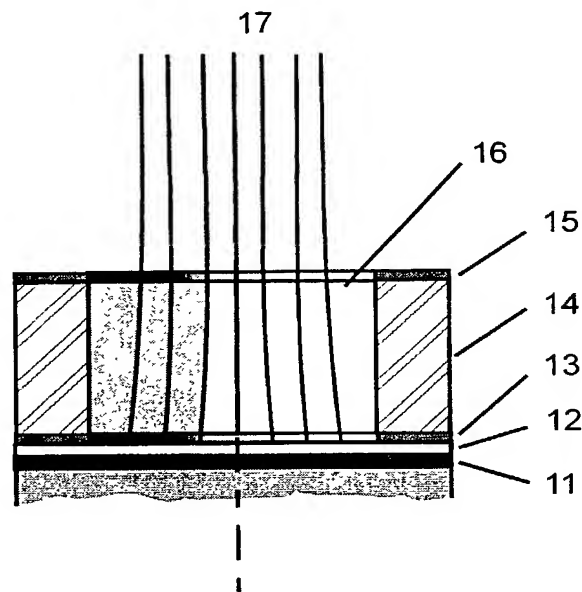


Figure 1b



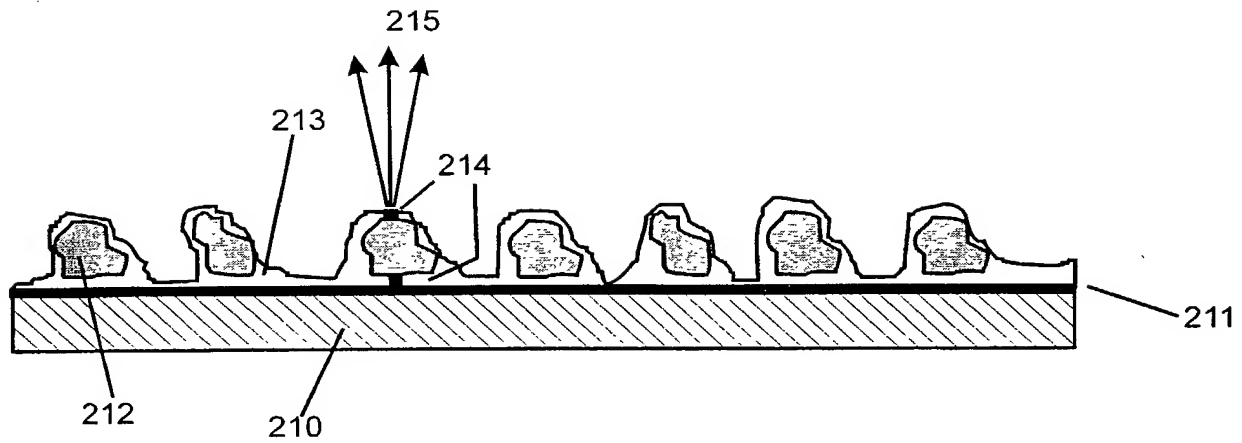


Figure 2a

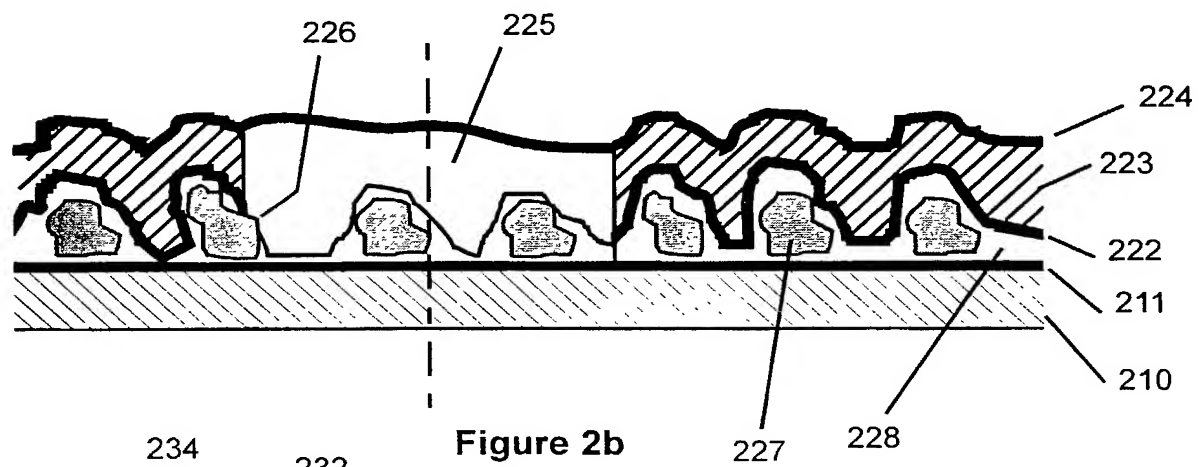


Figure 2b

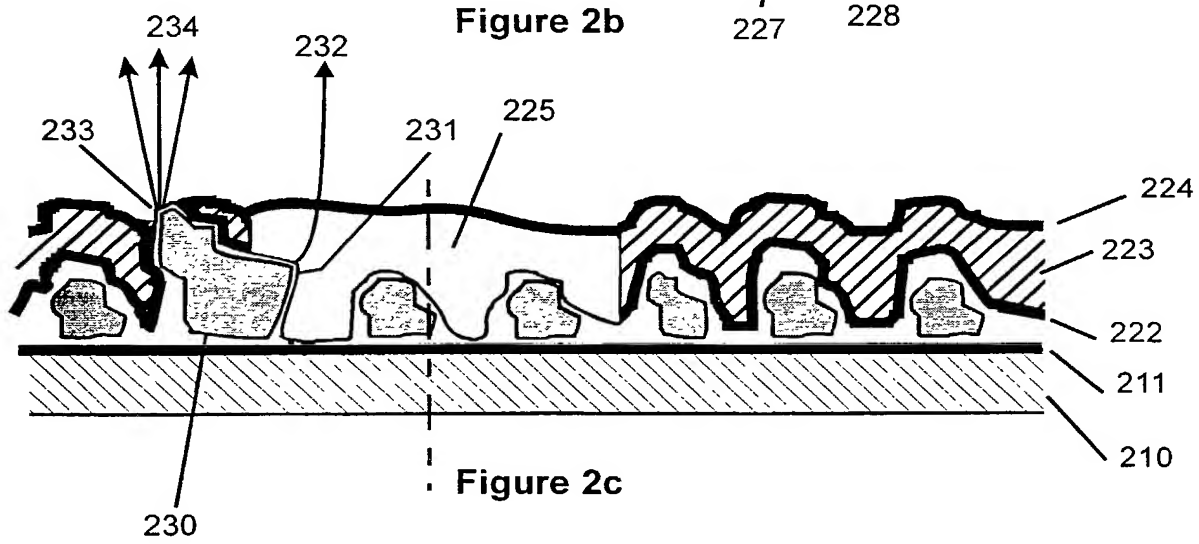


Figure 2c

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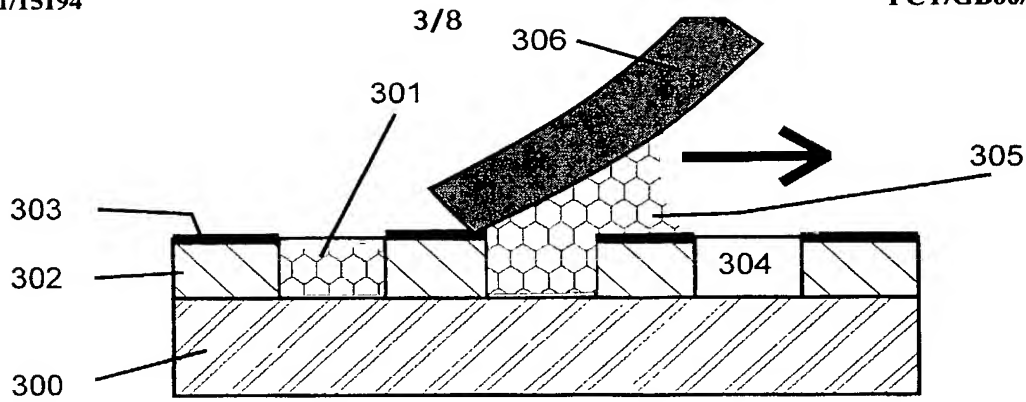


Figure 3a

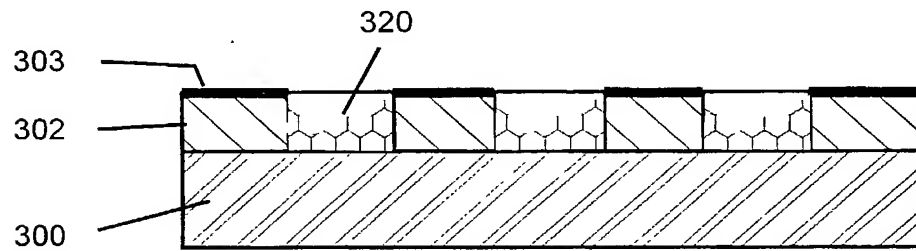


Figure 3b

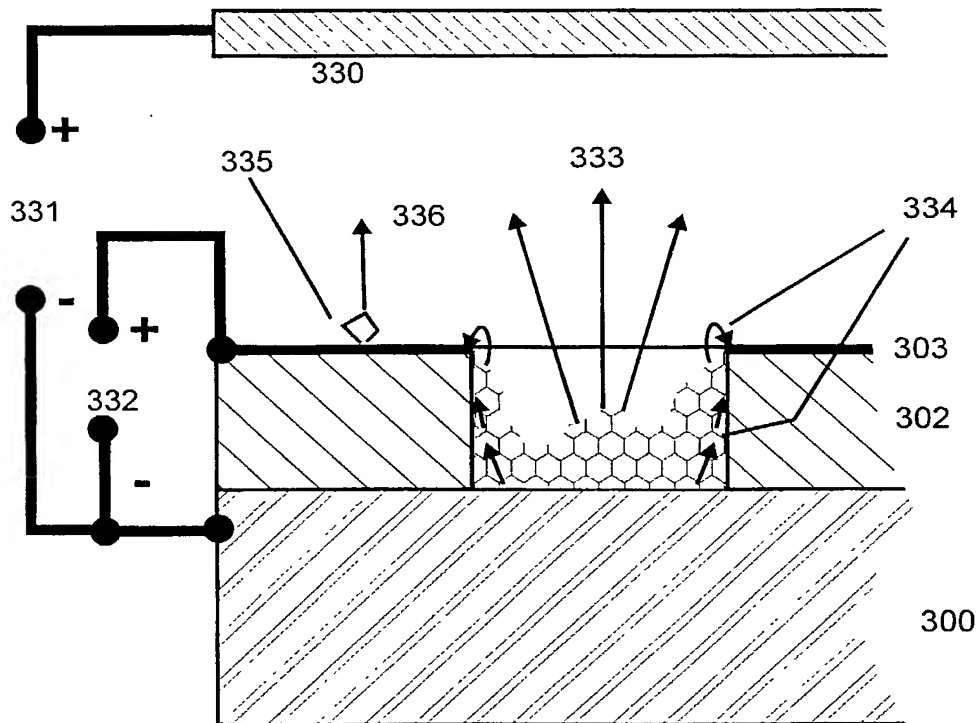


Figure 3c

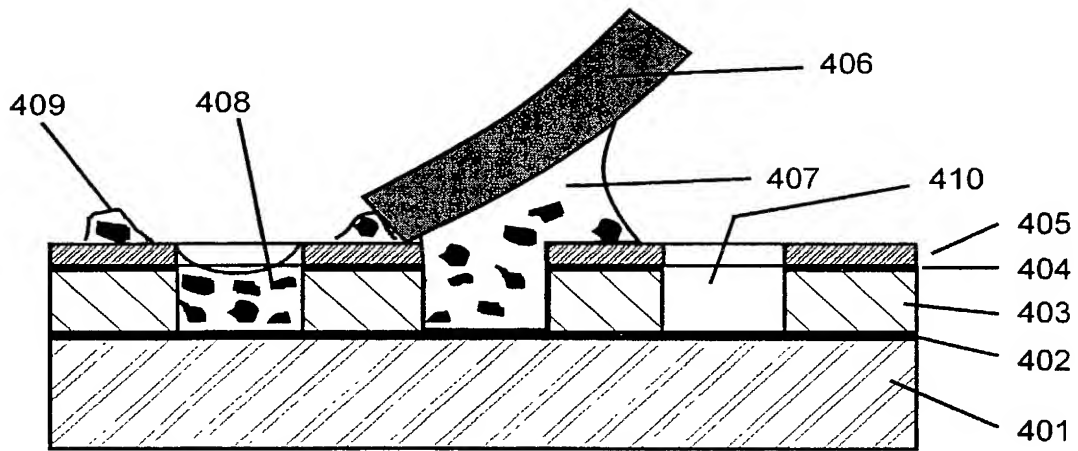


Figure 4a

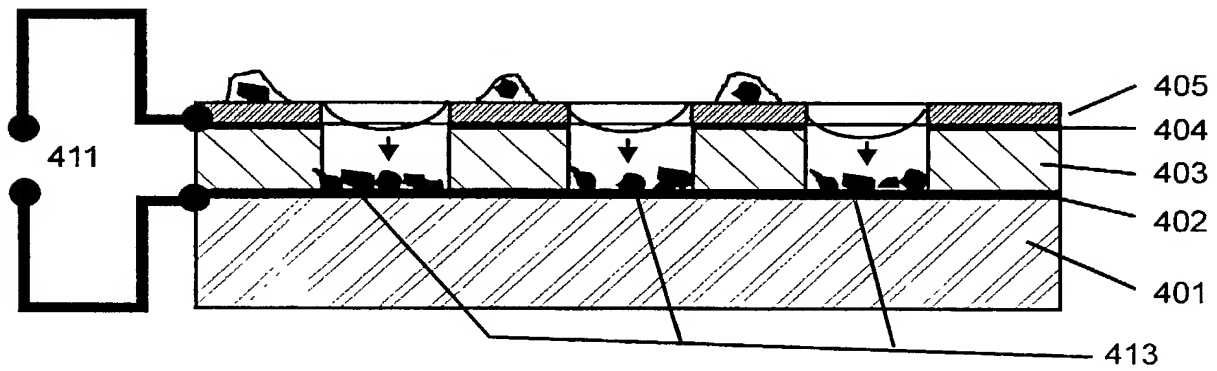


Figure 4b

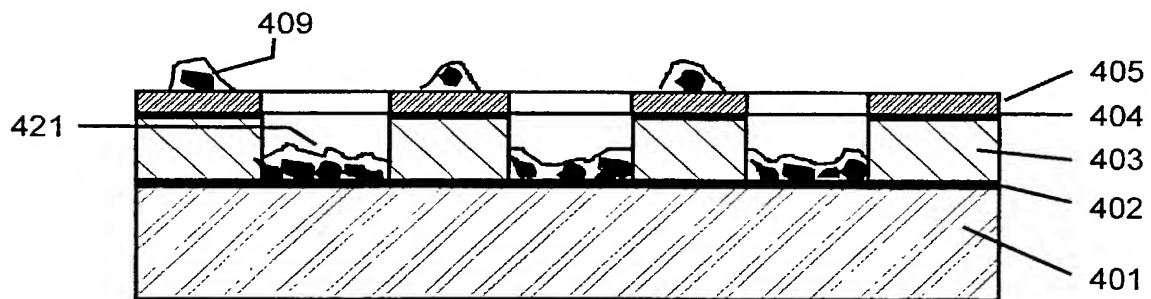


Figure 4c

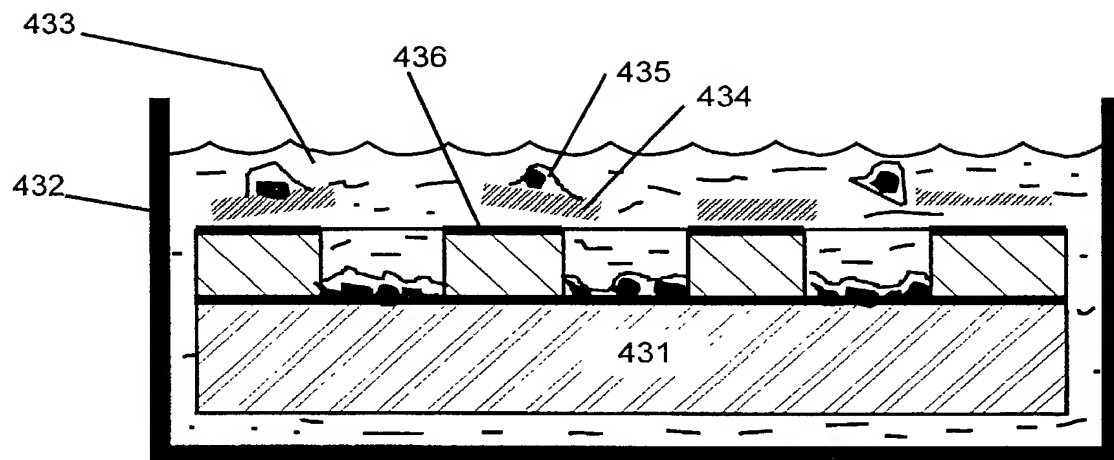


Figure 4d

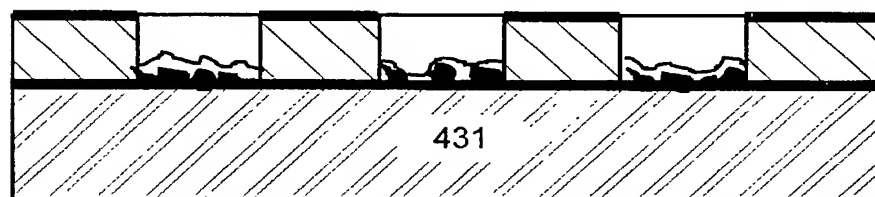
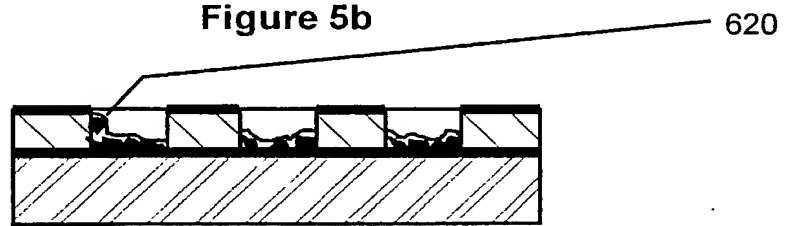
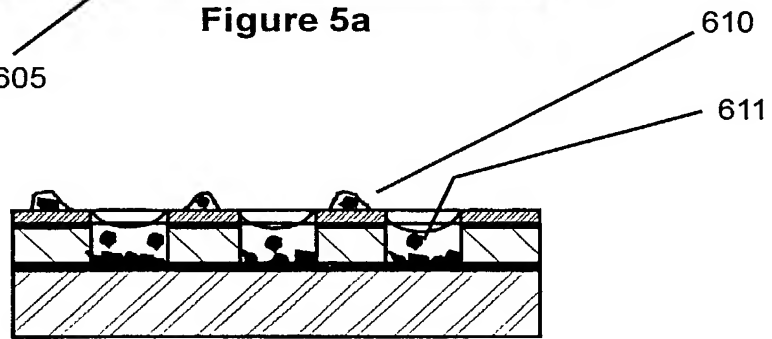
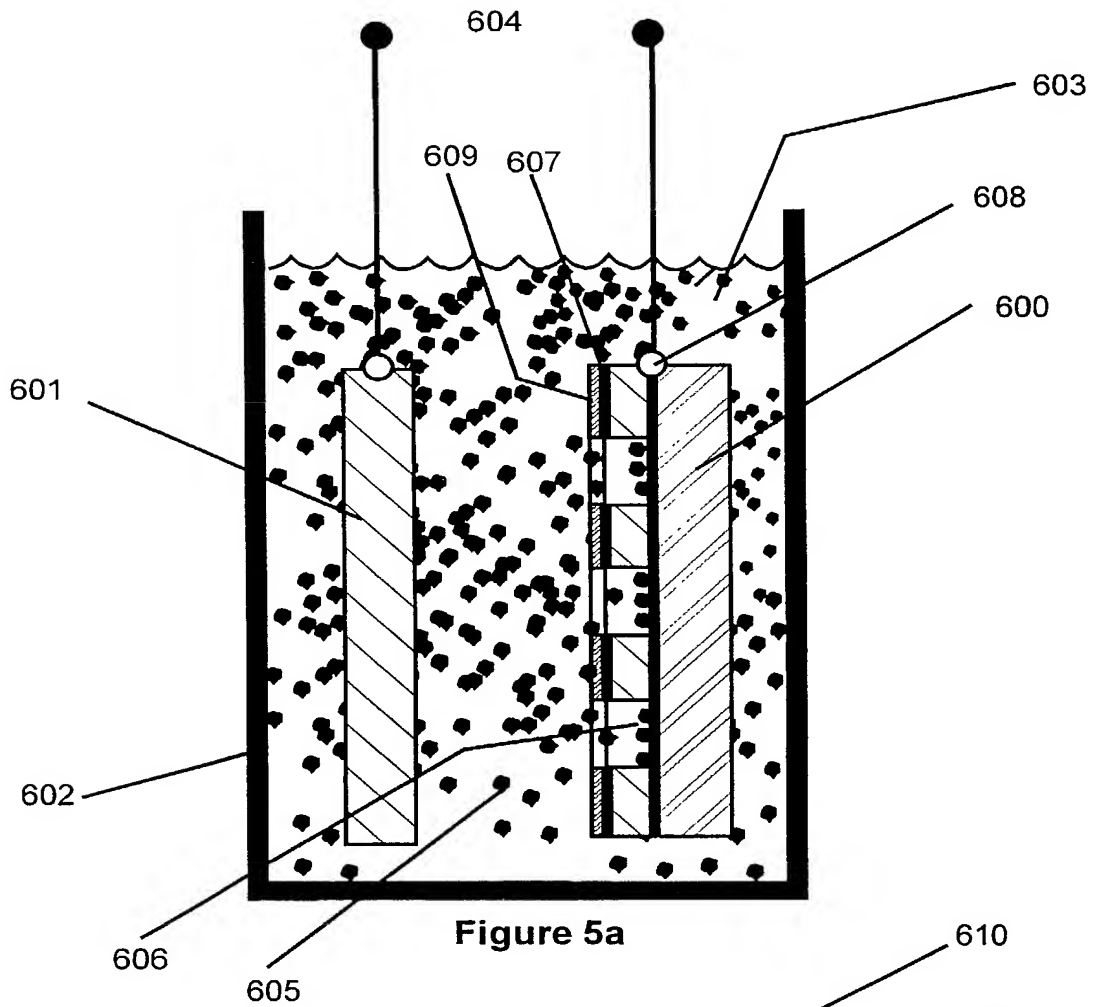


Figure 4e



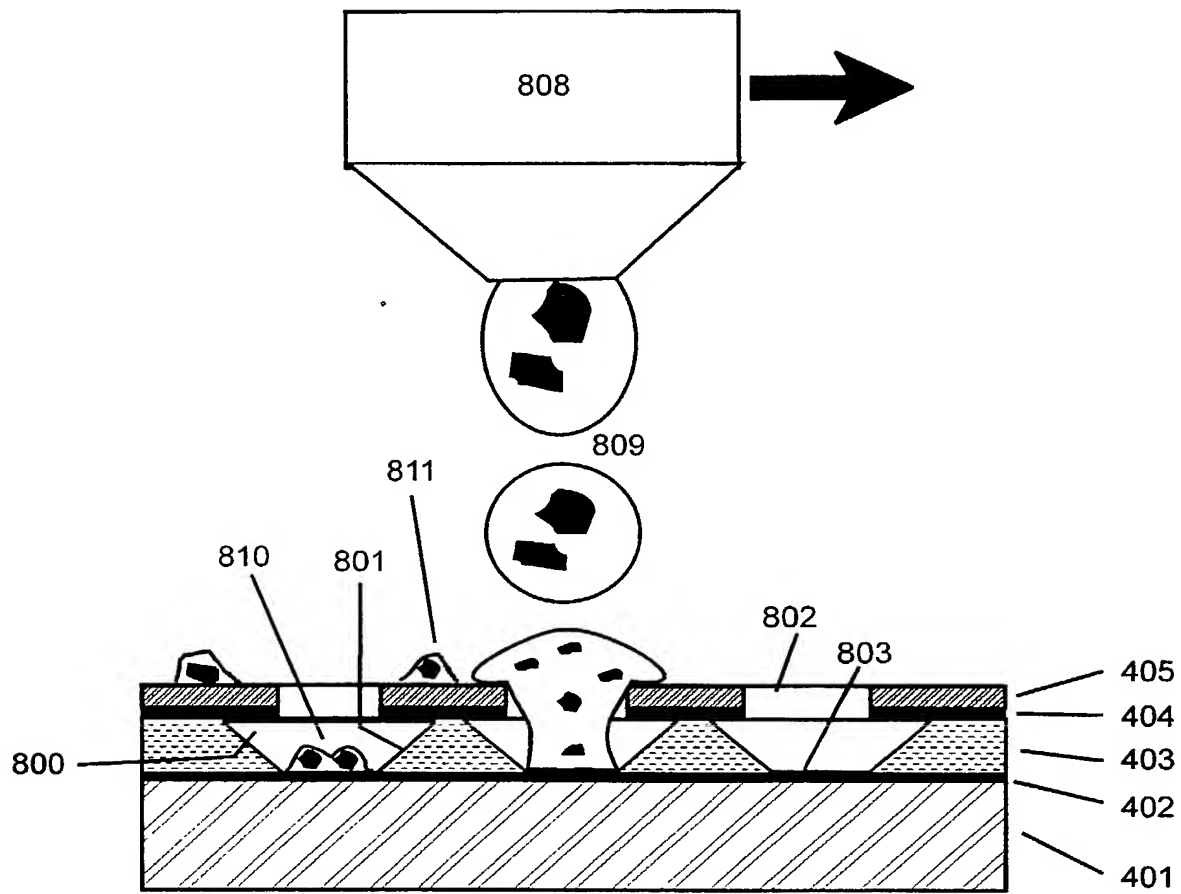


Figure 6a

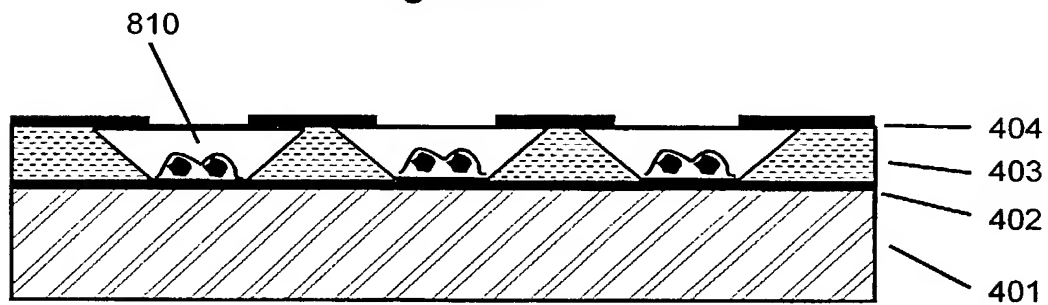


Figure 6b

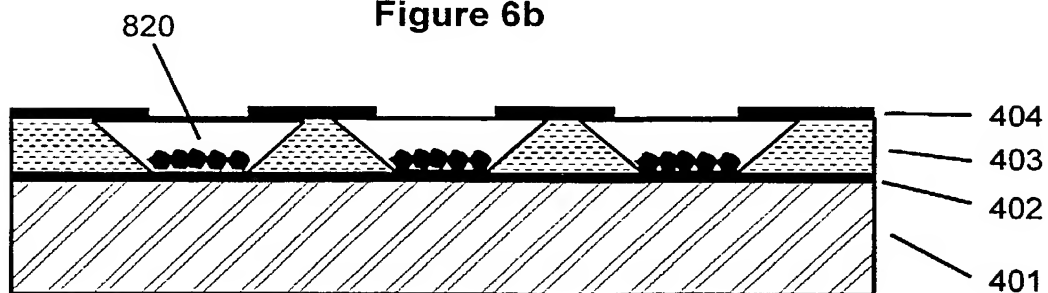


Figure 6c

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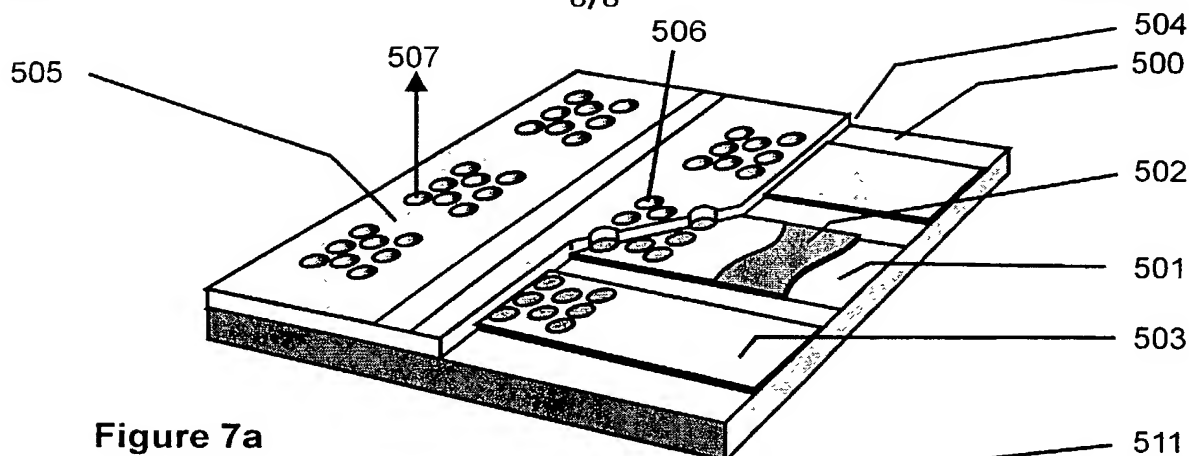


Figure 7a

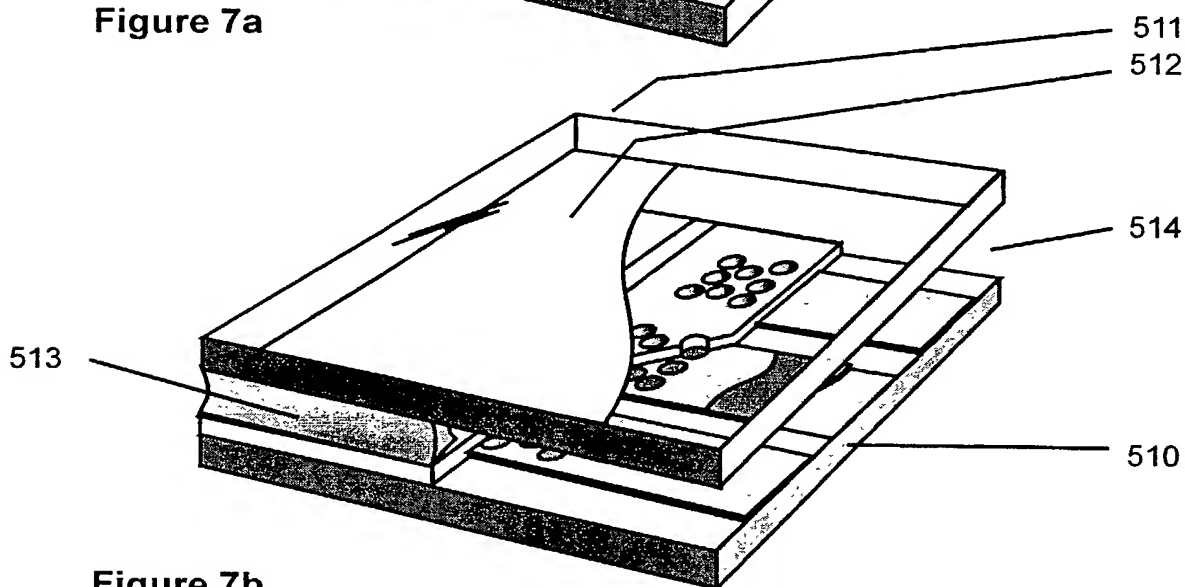


Figure 7b

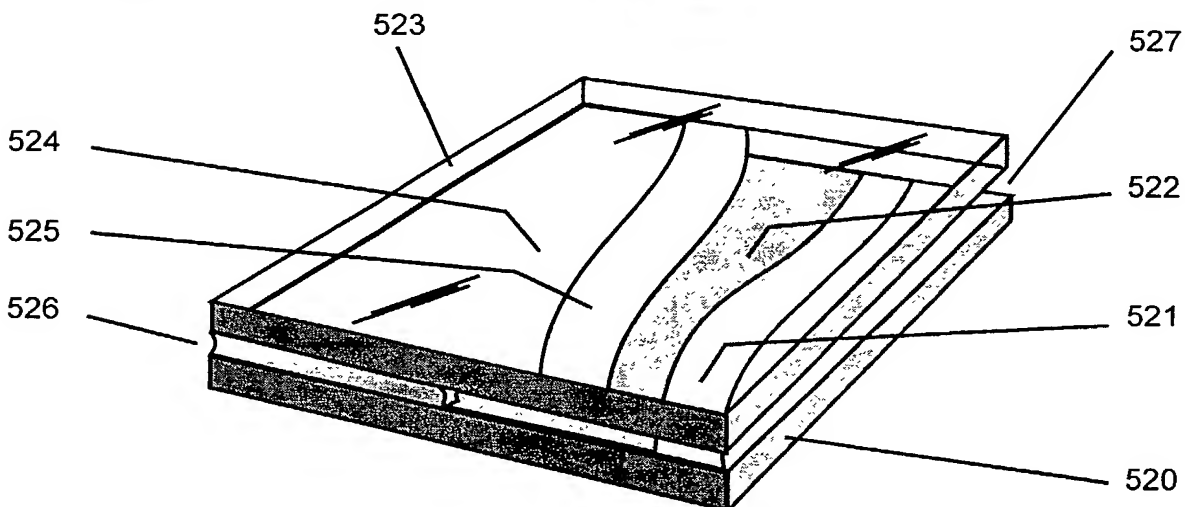


Figure 7c



Attorney Docket No. 670-1006

**DECLARATION AND POWER OF ATTORNEY FOR PATENT APPLICATION**

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled FIELD ELECTRON EMITTERS AND DEVICES, the specification of which:

~~is attached hereto.~~

was filed on 21 AUGUST 2000 as

Application Serial No. PCT/GB00/03242 and

was amended on 06 and 20 DECEMBER 2001 (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, Section 1.56(a).

I hereby claim foreign priority benefits under Title 35, United States Code, Section 119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:



## PRIOR FOREIGN APPLICATION(S)

<u>Country</u>	<u>Number</u>	<u>Date Filed</u>	<u>Priority Claimed</u>	
			<u>Yes</u>	<u>No</u>
GB	99 19737.8	21 AUGUST 1999	X	

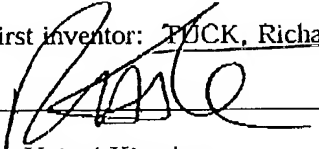
I hereby claim the benefit under Title 35, United States Code Section 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, Section 112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, Section 1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application.

<u>Application Serial No.</u>	<u>Filing Date</u>	<u>Status</u>
-------------------------------	--------------------	---------------

14 And I hereby appoint Timothy J. Engling, Registration No. 39,970, Thomas E. Smith, Registration No. 18,243, Dennis M. McWilliams, Registration No. 25,195, James R. Sweeney, Registration No. 18,721, William M. Lee, Jr., Registration No. 26,935, Glenn W. Ohlson, Registration No. 28,455, David C. Brezina, Registration No. 34,128, Jeffrey R. Gray, Registration No. 33,391, Gerald S. Geren, Registration No. 24,528, Peter J. Shakula, Registration No. 40,808, Robert F. I. Conte, Registration No. 20,354, Howard B. Rockman, Registration No. 22,190, John W. Hayes, Registration No. 19,286, and Mark A. Hagedorn, Registration No. 44,731, to prosecute this application and to transact all business in the Patent and Trademark Office connected herewith. It is requested that all communications be directed to Lee, Mann, Smith, McWilliams, Sweeney & Ohlson,

P.O. Box 2786, Chicago, Illinois 60690-2786, telephone number (312) 368-1300.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

1-00. Full name of sole or first inventor: PUCK, Richard Allan  
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